

# A First-Cycle Coulombic Efficiency Higher than 100 % Observed for a $\text{Li}_2\text{MO}_3$ ( $M = \text{Mo}$ or $\text{Ru}$ ) Electrode\*\*

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**Abstract:** The lithiation/de-lithiation behavior of a ternary oxide ( $\text{Li}_2\text{MO}_3$ , where  $M = \text{Mo}$  or  $\text{Ru}$ ) is examined. In the first lithiation, the metal oxide ( $\text{MO}_2$ ) component in  $\text{Li}_2\text{MO}_3$  is lithiated by a conversion reaction to generate nano-sized metal ( $M$ ) particles and two equivalents of  $\text{Li}_2\text{O}$ . As a result, one idling  $\text{Li}_2\text{O}$  equivalent is generated from  $\text{Li}_2\text{MO}_3$ . In the de-lithiation period, three equivalents of  $\text{Li}_2\text{O}$  react with  $M$  to generate  $\text{MO}_3$ . The first-cycle Coulombic efficiency is theoretically 150 % since the initial  $\text{Li}_2\text{MO}_3$  takes four  $\text{Li}^+$  ions and four electrons per formula unit, whereas the  $M$  component is oxidized to  $\text{MO}_3$  by releasing six  $\text{Li}^+$  ions and six electrons. In practice, the first-cycle Coulombic efficiency is less than 150 % owing to an irreversible charge consumption for electrolyte decomposition. The as-generated  $\text{MO}_3$  is lithiated/de-lithiated from the second cycle with excellent cycle performance and rate capability.

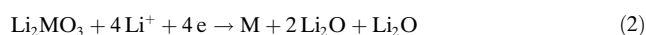
The demand for large-capacity lithium-ion batteries (LIBs) has been increasing for applications in electric vehicles and energy-storage systems.<sup>[1]</sup> Graphite is the most commonly used negative electrode in present-day LIBs.<sup>[2]</sup> Owing to the limited capacity of this carbon-based material, alternative electrode materials have been developed.<sup>[3]</sup> One example is the metal oxides that can be lithiated by a conversion reaction.<sup>[4]</sup> In that reaction, the metal–oxygen bonds are broken and the metal ( $M$ ) ions are reduced to their elemental states by taking injected electrons, while the co-injected Li ions are converted into  $\text{Li}_2\text{O}$  as in Equation (1).



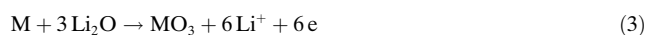
It is generally accepted that nano-sized metal particles are embedded into the  $\text{Li}_2\text{O}$  matrix. Unexpectedly, the reverse reaction is also allowed since nano-sized metal particles can enhance the electrochemical activity related to the decomposition of  $\text{Li}_2\text{O}$  and metal–oxygen bond formation. This

feature has been ascribed to the large contact area between the metal particles and the  $\text{Li}_2\text{O}$  matrix.<sup>[5]</sup>

The concept investigated in this study is related to the high level of reaction activity between metal and  $\text{Li}_2\text{O}$ .<sup>[6]</sup> Namely, if  $\text{Li}_2\text{O}$  forms  $\text{Li}_2\text{MO}_3$  by a combination with metal oxide ( $\text{MO}_2$ ) component, the  $\text{MO}_2$  is lithiated to generate a mixture of  $M$  and  $\text{Li}_2\text{O}$  nano-particles, while the  $\text{Li}_2\text{O}$  component in the initial  $\text{Li}_2\text{MO}_3$  phase is idle (a spectator), as in Equation (2)



As a result, the  $M$  component establishes an intimate contact with three equivalents of  $\text{Li}_2\text{O}$  (two by the lithiation reaction and one idle). If three equivalents of  $\text{Li}_2\text{O}$  react with  $M$ , then  $\text{MO}_3$  can be generated as in Equation (3).



For this reaction to be practicable, two conditions should be met. First, the contact area between  $M$  and  $\text{Li}_2\text{O}$  should be large enough for all of the available  $M$  and  $\text{Li}_2\text{O}$  to react to produce  $\text{MO}_3$ . It is very likely that this condition is met since both are generated from the molecular-level mixture ( $\text{Li}_2\text{MO}_3$ ). Second, the  $M$  component should be oxidized up to  $M^{6+}$  within the working potential range of the negative electrode. In this study,  $\text{Mo}$  and  $\text{Ru}$  are selected as the  $M$  component since  $\text{Mo}^{6+}$  and  $\text{Ru}^{6+}$  compounds can be prepared electrochemically. A highly crystalline  $\text{Li}_2\text{MO}_3$  (hereafter  $M = \text{Mo}$  or  $\text{Ru}$ ) phase is prepared and its lithiation/de-lithiation behavior is examined. If a  $\text{Li}_2\text{MO}_3$  electrode is lithiated/de-lithiated according to the above scheme, its theoretical first-cycle Coulombic efficiency is 150 % because the initial  $\text{Li}_2\text{MO}_3$  phase is lithiated by taking four  $\text{Li}^+$  ions and four electrons, and de-lithiated by releasing six  $\text{Li}^+$  ions and six electrons per formula unit. The prime concern in this study is to confirm whether the  $\text{Li}_2\text{MO}_3$  electrode can provide a Coulombic efficiency of 150 % in the first-cycle lithiation/de-lithiation cycle and to examine the electrode performance of  $\text{MO}_3$  generated by Equation (3).

Figure 1 presents the voltage profiles of a  $\text{Li} | \text{Li}_2\text{MO}_3$  cell and those obtained from  $\text{Li} | \text{MoO}_2$  and  $\text{Li} | \text{MoO}_3$  cells for comparison. The  $\text{MoO}_2$  electrode (Figure 1a) is lithiated by an insertion reaction near 1.5 V (vs  $\text{Li}/\text{Li}^+$ ), which is followed by a conversion reaction at constant voltage step (0.0 V) in the first cycle.<sup>[7]</sup> Similarly, the  $\text{MoO}_3$  electrode (Figure 1b) is lithiated by an insertion reaction near 2.5 V followed by a conversion reaction near 0.5 V.<sup>[8]</sup> The difference in the conversion reaction potential between  $\text{MoO}_2$  and  $\text{MoO}_3$  is the result of the difference in bond strengths (bond dissociation

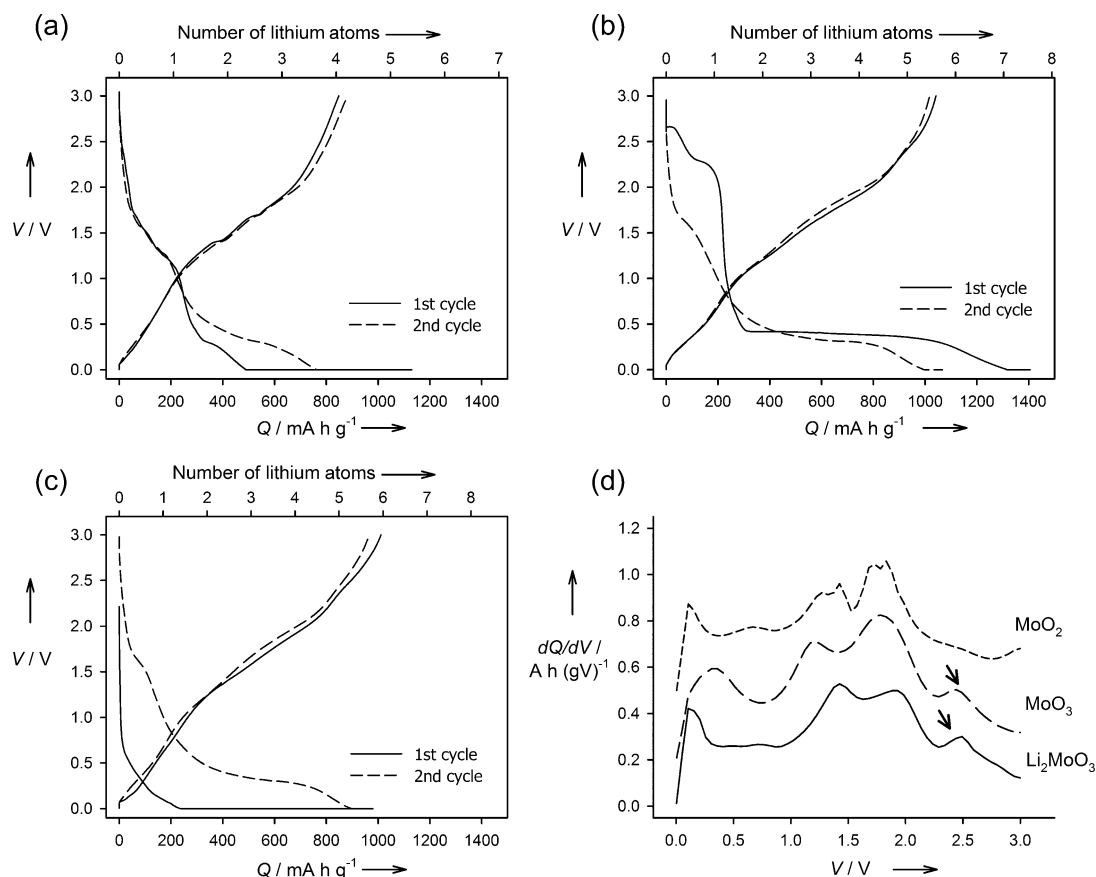
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**Figure 1.** First- and second-cycle lithiation/de-lithiation voltage profiles of a)  $\text{Li} | \text{MoO}_2$ , b)  $\text{Li} | \text{MoO}_3$ , c)  $\text{Li} | \text{Li}_2\text{MoO}_3$  cells, and d) differential first-cycle de-lithiation capacity profiles for  $\text{MoO}_2$ ,  $\text{MoO}_3$ , and  $\text{Li}_2\text{MoO}_3$  electrodes. Voltage is measured versus  $\text{Li/Li}^+$ . See text for details.

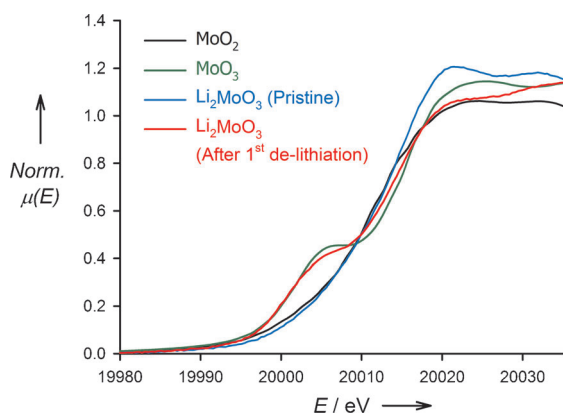
energy of  $\text{Mo-O}$  in  $\text{MoO}_3$  and  $\text{MoO}_2$  are 565 and 678  $\text{kJ mol}^{-1}$ , respectively).<sup>[9]</sup> The  $\text{Li}_2\text{MoO}_3$  electrode (Figure 1c) shows a voltage plateau at constant voltage step 0.0 V in the first cycle, indicative of a conversion reaction occurring in this tertiary oxide. The X-ray diffraction (XRD) analysis illustrates that the highly crystalline  $\text{Li}_2\text{MoO}_3$  structure collapses to an amorphous and/or nano-crystalline phase after lithiation (Supporting Information, Figure S1-a). The presence of a conversion reaction in the voltage plateau region (0.0 V) can be ascertained from the bell-shape current behavior (Figure S1-b), which is a signature of two-phase reactions.<sup>[10]</sup> Note that the bond breaking conversion-type lithiation is a two-phase reaction. In addition, through the Mo K-edge X-ray absorption near-edge structure (XANES) spectra for lithiated electrode (Figure S2), it can be deduced that  $\text{Li}_2\text{MoO}_3$  electrode is lithiated by conversion reaction to generate metallic Mo.

The de-lithiation capacity of  $\text{MoO}_2$  and  $\text{MoO}_3$  electrodes is well-matched with what is expected from a conversion reaction. The  $\text{MoO}_2$  is de-lithiated in the first cycle by releasing four  $\text{Li}^+$  ions and four electrons, whereas the number of released  $\text{Li}^+$  ions and electrons is six for the  $\text{MoO}_3$ . This indicates that metallic Mo is generated from both  $\text{MoO}_2$  and  $\text{MoO}_3$  electrodes upon lithiation, and the as-generated Mo metal is oxidized back to  $\text{MoO}_2$  and  $\text{MoO}_3$ , respectively. In the case of the  $\text{Li}_2\text{MoO}_3$ , however, the de-

lithiation capacity is six  $\text{Li}^+$  ions and six electrons in the first cycle (Figure 1c), strongly indicating that the metallic Mo generated by a conversion reaction of the  $\text{Li}_2\text{MoO}_3$  is oxidized up to  $\text{Mo}^{6+}$  ( $\text{MoO}_3$ ) in the forthcoming de-lithiation period.

The extension of oxidation up to  $\text{Mo}^{6+}$  is ascertained from the differential first-cycle de-lithiation capacity ( $dQ/dV$ ) profiles shown in Figure 1d. As shown, the Mo metal that is generated by the conversion reaction of  $\text{MoO}_2$  is oxidized back to  $\text{MoO}_2$  over the potential range of 0.0 to 2.0 V upon de-lithiation. The potential range, where the Mo metal generated by the conversion reaction of  $\text{MoO}_3$  is oxidized back to  $\text{MoO}_3$ , extends up to 2.5 V. A peak at 2.5 V, which is absent for  $\text{MoO}_2$  electrode, appears in the  $\text{MoO}_3$  electrode (arrow in Figure 1d). It is thus very likely that oxidation from  $\text{Mo}^{4+}$  to  $\text{Mo}^{6+}$  takes place near 2.5 V. Another feature apparent in Figure 1d is that the peak at 2.5 V for the  $\text{MoO}_3$  electrode also appears in the  $dQ/dV$  profile for the  $\text{Li}_2\text{MoO}_3$  electrode, strongly suggesting that the metallic Mo is oxidized up to  $\text{Mo}^{6+}$  ( $\text{MoO}_3$ ) in the de-lithiation period. The lithiation and de-lithiation process for  $\text{Li}_2\text{MoO}_3$  is schematically illustrated in Scheme S1 in the Supporting Information.

The Mo valence change from  $\text{Mo}^{4+}$  in the initial  $\text{Li}_2\text{MoO}_3$  phase to  $\text{Mo}^{6+}$  is confirmed by analyzing the Mo K-edge XANES spectra, in which a pre-edge appears, of which the intensity is deeply associated with the local symmetry of Mo.<sup>[11]</sup> The intensity of the pre-edge peak is very low for



**Figure 2.** The normalized Mo K-edge XANES spectra for the  $\text{Li}_2\text{MoO}_3$  electrode. Note that the pristine  $\text{Li}_2\text{MoO}_3$  electrode does not show a pre-edge peak like that shown by the  $\text{MoO}_2$  electrode. However, the  $\text{Li}_2\text{MoO}_3$  electrode does show a pre-edge peak after the first charge/discharge cycle.

$\text{MoO}_2$  since the  $\text{Mo}^{4+}$  ions are placed in a rather symmetric site (a slightly distorted  $\text{MoO}_6$  octahedron; Figure 2).<sup>[12]</sup> In contrast, the pre-edge peak is stronger in  $\text{MoO}_3$  because the local symmetry of the  $\text{MoO}_6$  octahedron is low due to four shorter Mo–O bonds and two longer Mo–O bonds.<sup>[13]</sup> The pristine  $\text{Li}_2\text{MoO}_3$  electrode does not show a pre-edge like that in  $\text{MoO}_2$  because the  $\text{Mo}^{4+}$  ions in  $\text{Li}_2\text{MoO}_3$  are located in the ordinary octahedral sites.<sup>[14]</sup> After the first-cycle de-lithiation, however, a pre-edge like that in  $\text{MoO}_3$  develops in the  $\text{Li}_2\text{MoO}_3$  electrode, implying that the Mo valence is  $\text{Mo}^{6+}$  in the de-lithiated  $\text{Li}_2\text{MoO}_3$  electrode.

Theoretically, the first-cycle Coulombic efficiency of the  $\text{Li}_2\text{MoO}_3$  electrode should be 150% since this electrode accepts four  $\text{Li}^+$  and four electrons in lithiation and releases six  $\text{Li}^+$  ions and six electrons per formula unit. However, the experimentally observed value is 106% (Table 1). This discrepancy is due to an irreversible charge consumption associated with electrolyte decomposition. That is, the first-cycle lithiation capacity is larger than four  $\text{Li}^+$  ions and four electrons per formula unit because of irreversible charge consumption. The  $\text{MoO}_2$  and  $\text{MoO}_3$  electrodes also show larger lithiation capacity than their theoretical values for the same reason, resulting in their low first-cycle Coulombic efficiency.

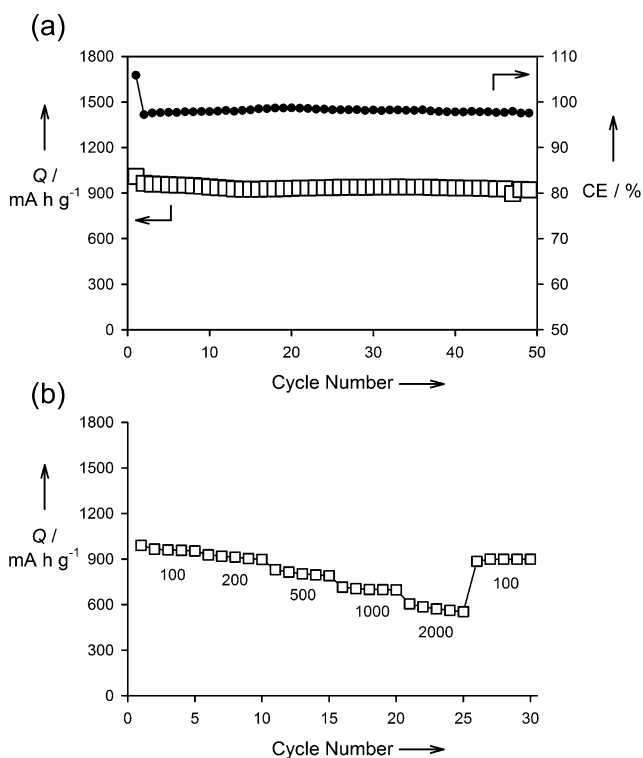
The second-cycle lithiation voltage profile of the  $\text{Li} | \text{Li}_2\text{MoO}_3$  cell is markedly different from that observed in the first-cycle lithiation (Figure 1c). Rather, it is quite similar to that observed in the second cycle of the  $\text{MoO}_3$  electrode (dashed line in Figure 1b). In the  $\text{MoO}_3$  electrode, the

**Table 1:** The first-cycle lithiation, de-lithiation capacity, and Coulombic efficiency for  $\text{MoO}_2$ ,  $\text{MoO}_3$ , and  $\text{Li}_2\text{MoO}_3$  electrodes.

Electrode	First-cycle Lithiation capacity [ $\text{mAh g}^{-1}$ ]	First-cycle De-lithiation capacity [ $\text{mAh g}^{-1}$ ]	First-cycle Coulombic efficiency [%]
$\text{MoO}_2$	1130	848	75.0
$\text{MoO}_3$	1405	1042	74.2
$\text{Li}_2\text{MoO}_3$	954	1011	106.0

crystalline phase is converted into amorphous and/or nano-sized  $\text{MoO}_3$  after a charge/discharge cycling.<sup>[8b]</sup> Hence, the lithiation voltage profile obtained in the second cycle is the lithiation profile for amorphous and/or nano-sized  $\text{MoO}_3$ . The similarities in the second-cycle lithiation profiles of the  $\text{MoO}_3$  and  $\text{Li}_2\text{MoO}_3$  electrodes illustrate that the crystalline  $\text{Li}_2\text{MoO}_3$  phase is also converted into amorphous and/or nano-sized  $\text{MoO}_3$  after the first charge/discharge cycling, and it is charged/discharged from the second cycle. The cycle performance and rate capability of amorphous and/or nano-sized  $\text{MoO}_3$ , which is derived from  $\text{Li}_2\text{MoO}_3$ , are presented in Figure 3. Surprisingly, the results show a very stable cycle performance without serious capacity loss. The de-lithiation capacity in the 50th cycle amounts to  $900 \text{ mAh g}^{-1}$ , which corresponds to a release of 5.3  $\text{Li}^+$  ions and 5.3 electrons per formula unit. The rate performance is also excellent with a de-lithiation capacity of  $600 \text{ mAh g}^{-1}$  at a current density of  $2000 \text{ mA g}^{-1}$ .

Two additional experiments elucidate further the suggested mechanism. The first test is of a physical mixture of  $\text{MoO}_2$  and  $\text{Li}_2\text{O}$ . The  $\text{MoO}_2/\text{Li}_2\text{O}$  mixture electrode releases five  $\text{Li}^+$  ions and five electrons per formula unit. The  $dQ/dV$  peak near 2.5 V is also observed in this electrode and the first-cycle Coulombic efficiency is 87% (Figure S3). This efficiency is greater than that observed with the  $\text{MoO}_2$  electrode, but is less than that for the  $\text{Li}_2\text{MoO}_3$  electrode. The latter difference must be due to the smaller Mo/ $\text{Li}_2\text{O}$  contact area in the physically mixed sample. The results, however, demonstrate that the added  $\text{Li}_2\text{O}$  can participate in a bond-forming



**Figure 3.** a) The cycle performance and Coulombic efficiency (CE), and b) rate capability of the  $\text{Li} | \text{Li}_2\text{MoO}_3$  cell. The current density (in  $\text{mA g}^{-1}$ ) is indicated below the data points.

reaction if it makes intimate contact with metal components that can be oxidized to higher oxidation states. This feature is investigated further by assessing the results obtained from a  $\text{Li}_2\text{RuO}_3$  electrode. Note that Ru can be oxidized up to  $\text{Ru}^{6+}$  state at under 4.0 V.<sup>[15]</sup> As shown in Figure S4, the  $\text{Li}_2\text{RuO}_3$  electrode has a high Coulombic efficiency (120%) in the first cycle, implying that  $\text{Li}_2\text{RuO}_3$  is converted into a mixture of metallic Ru and three equivalents of  $\text{Li}_2\text{O}$  by taking four  $\text{Li}^+$  ions and four electrons, and the metallic Ru is oxidized to  $\text{RuO}_3$  by releasing six  $\text{Li}^+$  ions and six electrons in the forthcoming de-lithiation period. The high Coulombic efficiency of the  $\text{Li}_2\text{RuO}_3$  electrode, compared to that of the  $\text{Li}_2\text{MoO}_3$  electrode is ascribed to the re-oxidation of solid electrolyte interphase films at over 3 V.<sup>[16]</sup>

Finally, to utilize the high first-cycle Coulombic efficiency of  $\text{Li}_2\text{MoO}_3$ , it is blended with a SiO electrode that normally has poor first-cycle Coulombic efficiency. The  $\text{Li}_2\text{MoO}_3/\text{SiO}$  blended electrode has a markedly higher first-cycle Coulombic efficiency (77.4%) than that of a pure SiO electrode (54.7%; Figure S5, Table S1). The experimental value is higher than the calculated one (69%), because Mo metal remained at the potential range where the de-alloying of Li–Si phase takes place (near 0.4 V) facilitates the de-lithiation of Li–Si alloy. (Figure S6).

In conclusion, the  $\text{MO}_2$  component in a  $\text{Li}_2\text{MO}_3$  ( $\text{M} = \text{Mo}$  or  $\text{Ru}$ ) is lithiated by a conversion reaction to generate a mixture of  $\text{M}$  and  $\text{Li}_2\text{O}$ . An idle  $\text{Li}_2\text{O}$  is isolated during this reaction. The idle  $\text{Li}_2\text{O}$  participates in the de-lithiation reaction to produce  $\text{MO}_3$ . As a result, the theoretical first-cycle Coulombic efficiency is 150%. It can be generalized that nano-sized metallic components, which are generated by a conversion reaction, can react with  $\text{Li}_2\text{O}$  regardless of whether the  $\text{Li}_2\text{O}$  is provided by a molecular-level or physical mixture. Furthermore, if such metallic components can be oxidized to higher valence states, the de-lithiation capacity can exceed the lithiation capacity to give a Coulombic efficiency higher than 100%.

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